OPTN Membership Application for Vascularized Composite Allograft (VCA) Transplant Programs

OMB No. 0915-0184

Expiration Date: 08/31/2023

CERTIFICATION

The undersigned, a duly authorized representative of the applicant, does hereby certify that the answers and attachments to this application are true, correct and complete, to the best of his or her knowledge after investigation. I understand that the intentional submission of false data to the OPTN may result in action by the Secretary of the Department of Health and Human Services, and/or civil or criminal penalties. By submitting this application to the OPTN, the applicant agrees: (i) to be bound by OPTN Obligations, including amendments thereto, if the applicant is granted membership and (ii) to be bound by the terms, thereof, including amendments thereto, in all matters relating to consideration of the application without regard to whether or not the applicant is granted membership.

If you have any questions, please call the UNOS Membership Team at 833-577-9469 or email MembershipRequests@unos.org.

C	OPTN Representative	
Printed Name	Signature	Email Address
Program Director for	VCA Type	
Printed Name	Signature	Email Address
Program Director for	VCA Type	(if applicable)
Printed Name	Signature	Email Address

Printed Name

Program Director	for	(if applicable)
	VCA Type	
Printed Name	Signature	Email Address
Program Director	for	(if applicable)
•	VCA Type	
Printed Name	Signature	Email Address
Proposed Primary S	Surgeon for	
	VCA	\ Туре
Printed Name	Signature	Email Address
Proposed Primary P	Physician for	
	VCA	Л Туре

Signature

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Email Address

esources and Services Administration Expiration Date: 08/31/2023

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Part 1: General Information

Name of Tra	nsplant Hospital:				
OPTN Memb	per Code (4 Letters): _				
Transplant P	rogram Office Addres	s			
Street:			Ste:	Phone #:	
City:		_ ST:	Zip:	Fax #:	
Name of Per	son Completing Form:	:		Title:	
Email Addres	ss of Person Completi	ng Form:			
Date Form is	submitted to OPTN C	ontractor:			
Indicate whi	ch VCA program(s) the	e hospital is a	oplying for OPTN N	Леmbership:	
	Upper Limb				
	Head and Neck				
	Abdominal Wall				
	Genitourinary Orga	ans			
	Glands				
	Lower Limb				
	Musculoskeletal				
	Spleen				

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Part 2: Program Director(s)

A VCA transplant program must identify at least one designated staff member to act as the VCA program director. The director must be a physician or surgeon who is a member of the transplant hospital staff. The same individual can serve as the program director for multiple VCA programs.

Name o	of Program Director(s) (list a	II):	New	Existing
For				
	VCA Type	Name		
For				
	VCA Type	Name		
For				
	VCA Type	Name		
For				
	VCA Type	Name		

Include the resume/CV of each individual listed.

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Part 3: Primary Program Administrator

A primary program administrator is the identified administrative lead for the transplant program.
Name of Primary Program Administrator:
Credentials:
Title at Hospital:
Phone Number:
Email:

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Part 4: Primary Data Coordinator

A primary data coordinator is the identified data lead for the transplant program.
Name of Primary Data Coordinator:
Credentials:
Title at Hospital:
Phone Number:
Email:

Part 5: Primary VCA Transplant Surgeon Requirements

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		Name	NPI #
2.	Checl	k yes or no for each of the following. Provide doc	umentation where applicable:
s No	0		
	2a. Do	es the surgeon have an M.D., D.O., or equivalent de	egree from another country, with a current
	license	e to practice medicine in the hospital's state or juri	sdiction?
		de a copy of the surgeon's resume/CV.	
	2b. Has	s the surgeon been accepted onto the hospital's mo	edical staff, and is practicing on site at this
	surge	de documentation from the hospital credential on's state license, board certification, trainination, and that the surgeon is currently a member in	g, and transplant continuing medical
3.		surgeon must have observed at least 2 multi-organe experience must be documented on the log provio	•
4.		ch of the following VCA programs is the proposed apply, and complete the corresponding additional	
		Upper Limb , as described in <i>Part 4A: Additional Limb Transplant Programs</i> below.	l Primary Surgeon Requirements for Upper
		Head and Neck , as described in <i>Part 4B: Additional And Neck Transplant Programs</i> below.	itional Primary Surgeon Requirements for
		Abdominal Wall , as described in part 4C: Add Abdominal Wall Transplant Programs below.	itional Primary Surgeon Requirements for
		Genitourinary Organs, Glands, Lower Limb, Mudescribed in Part 4D: Additional Primary Surgeor Glands, Lower Limb, Musculoskeletal, and Splee	on Requirements for Genitourinary Organs,
A: A	dditior	nal Primary Surgeon Requirements for Upper	Limb Transplant Programs
1.	Certif	fication. Check one and provide corresponding do	ocumentation:
7	a. The	e surgeon is currently certified by the American Bo	ard of Plastic Surgery, the American

Department of Health and Human Services Health Resources and Services Administration

	Provide a copy of the surgeon's current board certification.
	b. The surgeon has just completed training and is pending certification by the American Board of
	Plastic Surgery, the American Board of Orthopedic Surgery, the American Board of Surgery, or
	the Royal College of Physicians and Surgeons of Canada. Therefore, the surgeon is requesting
	conditional approval for 24 months to allow time to complete board certification, with the
	possibility of renewal for one additional 16-month period.
	Provide documentation supporting that training has been completed and certification is
	pending, which must include the anticipated date of board certification and where the surgeon
	is in the process to be certified.
	c. In place of current certification by the American Board of Plastic Surgery, the American Board
	of Orthopedic Surgery, the American Board of Surgery, the Royal College of Physicians and
	Surgeons of Canada, or a pending certification, the surgeon must demonstrate the following
	experience:
	Acted as the first-assistant or primary surgeon on at least 1 VCA procurement.
	 Participated in the pre-operative evaluation of at least 3 potential upper limb transplant patients.
	 Acted as primary surgeon of a least 1 upper limb transplant.
	 Participated in the post-operative follow-up of at least 1 upper limb recipient for 1 year post-
	transplant.
	This experience must be documented on the log provided.
	d. The surgeon is without certification from American Board of Plastic Surgery, the American
	Board of Orthopedic Surgery, the American Board of Surgery, or the Royal College of Physicians
	and Surgeons of Canada.
	If this option is selected:
	 The surgeon must be ineligible for American board certification. If not eligible, provide an explanation why the individual is ineligible:
	 Provide a plan for continuing education that is comparable to American board maintenance of certification; and
	 Provide at least 2 two letters of recommendation from directors of designated transplant
	programs not employed by the applying hospital that address
	 why an exception is reasonable,
	o the individual's overall qualifications to act as a primary upper limb transplant
	surgeon,
	 the individual's personal integrity and honesty,
	 the individual's familiarity with and experience in adhering to OPTN obligations and
	compliance protocols, and
	 any other matters judged appropriate.
2.	At least one of the following must be completed by the surgeon. Check all that apply:
	Accreditation from Accreditation Council of Graduate Medical Education (ACGME) approved
	fellowship program in hand surgery.

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Provide a copy of ACGME accreditation with the application.

☐ **A fellowship program** in hand surgery that meets all of the following criteria:

- The program is at a hospital that has inpatient facilities, operative suites and diagnostic treatment facilities, outpatient facilities, and educational resources.
- The program is at an institution that has a proven commitment to graduate medical education.
- The program director must have current certification in the sub-specialty by the American Board of Orthopedic Surgery, the American Board of Plastic Surgery, or American Board of Surgery.
- The program should have at least 2 physician faculty members with hand surgery experience
 and current medical licensure who are actively involved in the instruction and supervision of
 fellows during the time of accredited education.
- The program is at a hospital that has affiliated rehabilitation medicine services.
- The program has the resources, including adequate clinical facilities, laboratory research facilities, and appropriately trained faculty and staff, to provide research experience.

Provide a written explanation of the fellowship program demonstrating that it included all of the above. Submit as an attachment to the application.

At least 2 years of consecutive and independent practice of hand surgery and must have completed a minimum number of upper limb procedures as the primary surgeon shown in Table 1 below. This includes completion of pre-operative assessments and post-operative care for a minimum of 90 days after surgery. Surgery of the hand includes only those procedures performed on the upper limb below the elbow.

This experience must be documented on the log provided.

Table 1: Minimum Procedures for Upper Limb Primary Transplant Surgeons

Type of Procedure	Minimum Number of Procedures
Bone	20
Nerve	20
Tendon	20
Skin or Wound Problems	14
Contracture or Joint Stiffness	10
Tumor	10
Microsurgical Procedures	10
Free flaps	10
Non-surgical management	6
Replantation or Transplant	5

4B: Additional Primary Surgeon Requirements for Head and Neck Transplant Programs

In addition to the primary VCA transplant surgeon requirements listed above, the transplant surgeon for a head and neck transplant program must meet *both* of the following:

1. Certification. Check one and provide corresponding documentation:

Health Resources and Services Administration Expiration Date: 08/31/2023 a. The surgeon is currently certified by the American Board of Plastic Surgery, the American Board of Otolaryngology, the American Board of Oral and Maxillofacial Surgery, the American Board of Surgery, or the Royal College of Physicians and Surgeons of Canada. Provide a copy of the surgeon's current board certification. b. The surgeon has just completed training and is pending certification by the American Board of Plastic Surgery, the American Board of Otolaryngology, the American Board of Oral and Maxillofacial Surgery, the American Board of Surgery, or the Royal College of Physicians and Surgeons of Canada. Therefore, the surgeon is requesting conditional approval for 24 months to allow time to complete board certification, with the possibility of renewal for one additional 16month period. Provide documentation supporting that training has been completed and certification is pending, which must include the anticipated date of board certification and where the surgeon is in the process to be certified. c. In place of current certification by the American Board of Plastic Surgery, the American Board of Otolaryngology, the American Board of Oral and Maxillofacial Surgery the American Board of Surgery, the Royal College of Physicians and Surgeons of Canada, or a pending certification, the surgeon must demonstrate the following experience: • Acted as the first-assistant or primary surgeon on **at least 1** VCA procurement. • Participated in the pre-operative evaluation of at least 3 potential head and neck transplant patients. • Acted as primary surgeon of **a least 1** head and neck transplant. Participated in the post-operative follow-up of at least 1 head and neck recipient for 1 year post-transplant. This experience must be documented on the log provided. d. The surgeon is without certification from American Board of Plastic Surgery, the American Board of Otolaryngology, the American Board of Oral and Maxillofacial Surgery, the American Board of Surgery, or the Royal College of Physicians and Surgeons of Canada. If this option is selected: The surgeon must be ineligible for American board certification. If not eligible, provide an explanation why the individual is ineligible: Provide a plan for continuing education that is comparable to American board maintenance of certification; and

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 Provide at least 2 two letters of recommendation from directors of designated transplant programs not employed by the applying hospital that address

o why an exception is reasonable,

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- the individual's overall qualifications to act as a primary head and neck transplant surgeon,
- o the individual's personal integrity and honesty,
- the individual's familiarity with and experience in adhering to OPTN obligations and compliance protocols, and

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- o any other matters judged appropriate.
- 2. <u>At least one</u> of the following must be completed by the surgeon.

Check all that apply

Any **ACGME—approved fellowship program** in otolaryngology, plastic, oral and maxillofacial, or craniofacial surgery.

Provide a copy of ACGME accreditation with the application.

- A fellowship program in otolaryngology, plastic, oral and maxillofacial, or craniofacial surgery that meets all of the following criteria:
 - The program is at a hospital that has inpatient facilities, operative suites and diagnostic treatment facilities, outpatient facilities, and educational resources.
 - The program is at an institution that has a proven commitment to graduate medical education.
 - The program director must have current certification in the sub-specialty by the American Board of Plastic Surgery, the American Board of Otolaryngology, American Board of Oral and Maxillofacial Surgery.
 - The program should have at least two physician faculty members with head and neck surgery experience and current medical licensure who are actively involved in the instruction and supervision of fellows during the time of accredited education.
 - The program is at a hospital that has affiliated rehabilitation medicine services.
 - The program has the resources, including adequate clinical facilities, laboratory research facilities, and appropriately trained faculty and staff, to provide research experience.

Provide a written explanation of the fellowship program demonstrating that it included all of the above. Submit as an attachment to the application.

At least 2 years of consecutive and independent practice of head and neck surgery. The surgeon must have completed at least 1 face transplant as primary surgeon or first-assistant, or a minimum number of head and neck procedures as the primary surgeon as shown in *Table 2* below. This includes completion of pre-operative assessments and post-operative care for a minimum of 90 days after surgery.

This experience must be documented on the log provided.

Table 2: Minimum Procedures for Head and Neck Primary Transplant Surgeons

Type of Procedure	Minimum Number of Procedures
Facial trauma with bone fixation	10
Head or neck free tissue reconstruction	10

4C: Additional Primary Surgeon Requirements for Abdominal Wall Transplant Programs

The primary surgeon for an abdominal wall transplant program must meet the primary transplant surgeon requirements of a head and neck, intestine, kidney, liver, pancreas, or upper limb transplant

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program.	
Which primary surgeon requirements does the proposed ab (check one):	odominal wall primary surgeon meet?
☐ Intestine	
☐ Kidney	
☐ Liver	
☐ Pancreas	
☐ VCA: Head and Neck	
□ VCA: Upper Limb	
Part 4D: Additional Primary Surgeon Requirements for Limb, Musculoskeletal, and Spleen Transplant Progra	• • •
This pathway is only for the primary transplant surgeon at a transplant body parts other than those that will be transplant or abdominal wall transplant programs. The VCA transplant transplant in the application.	anted at approved upper limb, head and neck,
1. Which type of body parts will the VCA transplant pr \Box Genitourinary	rogram be transplanting?
☐ Glands	
\square Lower Limb	
☐ Musculoskeletal	
☐ Spleen	
In addition to the requirements as described by the primary above, the primary surgeon for other VCA transplant progra	, , , , , , , , , , , , , , , , , , , ,
2. For the following question, check yes or no:	
Yes No	
\square Does the type of VCA transplant for which the surged	on is applying must meet all nine of the
following criteria? a. That is vascularized and requires blood flo	w by surgical connection of blood vessels to
function after transplantation.	w by surgicul connection of blood vessels to
b. Containing multiple tissue types.	
c. Recovered from a human donor as an ana	
d. Transplanted into a human recipient as an	
 e. Minimally manipulated (i.e., processing the characteristics of the organ relating to the replacement). 	e organ's utility for reconstruction, repair, or

f. For homologous use (the replacement or supplementation of a recipient's organ with an organ that performs the same basic function or functions in the recipient as in the donor).

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- a. Not combined with another article such as a device.
- h. Susceptible to ischemia and, therefore, only stored temporarily and not cryopreserved.
- i. Susceptible to allograft rejection, generally requiring immunosuppression that may increase infectious disease risk to the recipient.

	3.	Certification.	Check one an	d provide corres	sponding of	documentation
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a. The surgeon is currently certified by the American Board of Medical Specialties or Royal
College of Physicians and Surgeons of Canada in a specialty relevant to the type of VCA
transplant the surgeon will be performing.
Provide a copy of the surgeon's current board certification.
b. The surgeon is without certification from American Board of Medical Specialties or Royal College of Physicians and Surgeons of Canada in a specialty relevant to the type of VCA transplant the surgeon will be performing. If this option is selected:
 The surgeon must be ineligible for American board certification. Provide an explanation why the individual is ineligible:

- Provide a plan for continuing education that is comparable to American board maintenance of certification; and
- Provide at least 2 two letters of recommendation from directors of designated transplant programs not employed by the applying hospital that address
 - o why an exception is reasonable,
 - o the individual's overall qualifications to act as a primary VCA transplant surgeon,
 - o the individual's personal integrity and honesty,
 - the individual's familiarity with and experience in adhering to OPTN obligations and compliance protocols, and
 - o any other matters judged appropriate.
- 4. Provide proof that the surgeon has performed the pre-operative evaluation **of at least 3** potential VCA transplant patients.

This experience must be documented on the log provided.

- 5. Provide proof that the surgeon has current working knowledge in the surgical specialty, defined as independent practice in the specialty over a consecutive five-year period.

 Provide a written explanation that supports this experience.
- 6. Provide proof that the surgeon has assembled a multidisciplinary surgical team that includes specialists necessary to complete the VCA transplant including, for example, plastic surgery, orthopedics, otolaryngology, obstetrics and gynecology, urology, or general surgery. This team must include a team member that has microvascular experience such as replantation,

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revascularization, free tissue transfer, and major flap surgery. The team demonstration of detailed planning that is specific for the types of VCA transplant the program will perform. This experience must be documented on the log provided.

7. **Provide a letter from the presiding executive of the transplant hospital where the VCA will be performed.** The letter must provide written verification that requirements 1 through 5 above have been met by the primary surgeon.

Part 6: Primary VCA Transplant Physician Requirements

	Name	NPI#
_	nated VCA transplant program must have a prime following requirements: <i>(check one)</i>	ary transplant physician who meets at least
trar	urrently the primary transplant surgeon or primnsplant program. the program(s) the surgeon or physician is a program(s)	
des	fills the requirements of a primary transplant suing signated transplant program according to the OP at the program the surgeon or physician could be	TN Bylaws
-	rogram application will be required to docume uirements.	nt how the surgeon or physician fulfills
lice	physician with an M.D., D.O., or equivalent deg nse to practice medicine in the hospital's state of owing additional requirements:	•
	 The physician must be accepted onto the h hospital. Provide a copy of physician's current certif 	ospital's medical staff, and be on-site at this
	 The physician must have documentation from that it has verified the physician's state lice transplant continuing medical education, a 	om the hospital's credentialing committee nse, board certification, training, and nd that the physician is currently a member
	in good standing of the hospital's medical s	tan.
	Provide documentation from the hospital	credentialing committee that it has verification, training, and transplant continuir

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- The physician must have completed an approved transplant fellowship in a medical or surgical specialty. Approved OPTN transplant fellowships for each organ are determined according to the requirements in OPTN Bylaws.
 - Provide proof of the physician's fellowship.

hospital's medical staff.

• The physician must have current board certification by the American Board of Medical Specialties or the Royal College of Physicians and Surgeons of Canada.

In place of current certification by the American Board of Medical Specialties or the Royal College of Physicians and Surgeons of Canada, the physician must:

• The physician must be ineligible for American board certification. Provide an explanation why the individual is ineligible:

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- Provide a plan for continuing education that is comparable to American board maintenance of certification; and
- Provide at least two letters of recommendation from directors of designated transplant programs not employed by the applying hospital that address
 - why an exception is reasonable,
 - the individual's overall qualifications to act as a primary VCA transplant surgeon,
 - o the individual's personal integrity and honesty,
 - the individual's familiarity with and experience in adhering to OPTN obligations and compliance protocols, and
 - o any other matters judged appropriate.

PUBLIC BURDEN STATEMENT

The private, non-profit Organ Procurement and Transplantation Network (OPTN) collects this information in order to perform the following OPTN functions: to assess whether applicants meet OPTN Bylaw requirements for membership in the OPTN; and to monitor compliance of member organizations with OPTN Obligations. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB control number for this information collection is 0915-0184 and it is valid until 08/31/2023. This information collection is required to obtain or retain a benefit per 42 CFR §121.11(b)(2). All data collected will be subject to Privacy Act protection (Privacy Act System of Records #09-15-0055). Data collected by the private non-profit OPTN also are well protected by a number of the Contractor's security features. The Contractor's security system meets or exceeds the requirements as prescribed by OMB Circular A-130, Appendix III, Security of Federal Automated Information Systems, and the Departments Automated Information Systems Security Program Handbook. The public reporting burden for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to HRSA Reports Clearance Officer, 5600 Fishers Lane, Room 14N136B, Rockville, Maryland, 20857 or paperwork@hrsa.gov.